

EXPRESS MAIL LABEL NO. EL813857669US

PATENT APPLICATION

Docket No: 7678.614



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Peter M. Allred, Neil T. Jessop and  
Dan E. Fischer, D.D.S.

Serial No. Not Yet Assigned

Filed: March 13, 2002

For: DENTAL BONDING COMPOSITIONS FOR  
ADHERING AMALGAM RESTORATIVES TO  
DENTAL SUBSTRATES

Examiner: Not Yet Assigned

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4/12/02  
(Signature)

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

This Information Disclosure Statement is being filed concurrently with the patent application, therefore no fee is due. Please credit any overpayment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned. Duplicate copies of this sheet are attached.

Dated this 13<sup>th</sup> day of March 2002.



**022913**

PATENT TRADEMARK OFFICE

Respectfully submitted,

John M. Gynn  
Attorney for Applicants  
Registration No. 36,153

JMG: cm

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Applicant: Allred et al.  
Serial No. Not Yet Assigned  
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RESTORATIVES TO DENTAL SUBSTRATES

Att'y Docket No. 7678.614  
Group: Not Yet Assigned

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
___ 1	Re. 33,100	10/24/89	Ibsen et al.
___ 2	4,064,629	12/27/77	Stoner et al.
___ 3	4,155,890	05/22/79	Hofaker-Freifrau
___ 4	4,225,476	09/30/80	Hammer et al.
___ 5	4,247,575	01/27/81	O'Connell et al.
___ 6	4,362,510	12/07/82	Brauer et al.
___ 7	4,362,842	12/07/82	Masuhara et al.
___ 8	4,486,179	12/04/84	Brauer et al.
___ 9	4,514,342	04/30/85	Billington et al.
___ 10	4,542,168	09/17/85	Chang et al.
___ 11	4,657,941	04/14/87	Blackwell et al.
___ 12	4,711,913	12/08/87	Tateosian et al.
___ 13	4,719,149	01/12/88	Aasen et al.
___ 14	4,738,722	04/19/88	Ibsen et al.
___ 15	4,759,798	07/26/88	von Nostiz

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Jc828 U.S. PTO  
10/098709  
03/13/02

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___ 16	4,806,381	02/21/89	Engelbrecht et al.
___ 17	4,830,616	05/16/89	Okuda et al.
___ 18	4,880,660	11/14/89	Aasen et al.
___ 19	4,950,697	08/21/90	Chang et al.
___ 20	4,966,934	10/1990	Huang et al.
___ 21	4,983,644	01/08/91	Mukai et al.
___ 22	4,986,754	01/22/91	Chang et al.
___ 23	5,147,903	09/15/92	Podszun et al.
___ 24	5,154,762	10/13/92	Mitra et al.
___ 25	5,158,825	10/27/92	Altwirth
___ 26	5,178,870	01/12/93	Schaeken et al.
___ 27	5,192,802	03/09/93	Rencher
___ 28	5,252,121	10/12/93	Arnold
___ 29	5,252,629	10/12/93	Imai et al.
___ 30	5,256,447	10/26/93	Oxman et al.
___ 31	5,264,485	11/23/93	Müller
___ 32	5,264,513	11/23/93	Ikemura et al.
___ 33	5,273,574	12/28/93	Arnold
___ 34	5,276,068	01/04/94	Waknine
___ 35	5,306,338	04/26/94	Tsunekawa

Examiner:

Date Considered:

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____ 36	5,382,284	01/17/95	Arnold
____ 37	5,427,613	06/27/95	Arnold
____ 38	5,534,562	07/09/96	Jensen et al.
____ 39	5,554,030	09/10/96	Ario et al.
____ 40	5,595,487	01/21/97	Ario et al.
____ 41	5,662,886	09/02/97	Oxman et al.
____ 42	5,708,052	01/13/98	Fischer et al.
____ 43	5,844,018	12/01/98	Jacobs et al.
____ 44	5,847,020	12/08/98	Ibsen et al.
____ 45	5,849,813	12/15/98	Oxman
____ 46	5,866,629	02/02/99	Santerre et al.
____ 47	5,866,630	02/02/99	Mitra et al.
____ 48	5,876,208	03/02/99	Mitra et al.
____ 49	5,922,786	07/13/99	Mitra et al.
____ 50	5,973,022	10/26/99	Lu et al.
____ 51	5,975,906	11/02/99	Knutson
____ 52	5,997,302	12/07/99	Alpert
____ 53	6,004,390	12/21/99	Pflug et al.
____ 54	6,127,450	10/03/00	Angeletakis

Examiner:

Date Considered:

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_____ 55	6,270,348	08/07/01	Petersen
_____ 56	6,274,644	08/14/01	Pelerin

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
_____ 57	WO 96/31559	10.10.96	PCT
_____ 58	0 234 934 A2	02.09.87	EPO

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant:

Allred et al.

Serial No.

Not Yet Assigned

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RESTORATIVES TO DENTAL SUBSTRATES

Sheet 5 of 5

Att'y Docket No. 7678.614

Group: Not Yet Assigned

### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner: \_\_\_\_\_

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